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Neonates and COVID-19 Review: State of the Art

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Title: Neonates and COVID-19: state of the art

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45 **Impact Statement**

- 46 ● Comprehensive review of current available evidence related to impact of the COVID-19
47 pandemic on neonates, effects on their health, impact on their quality of care and
48 indirect influences on their clinical course, including comparisons with other age groups
49
- 50 ● Reference to current evidence for maternal experience of infection and how it impacts
51 the fetus and then neonate
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- Outline of the need for ongoing research, including specific areas in which there are significant gaps in knowledge

Abstract

The SARS-CoV-2 pandemic has significantly affected neonates, with disproportionate effects seen in middle and low income countries. There are placental changes associated with SARS-CoV-2, though the relative contributions to maternal and fetal illness have not been fully determined. The rate of premature delivery has increased and SARS-CoV-2 infection is proportionately higher in premature neonates. This appears to be related to premature delivery for maternal reasons rather than an increase in spontaneous preterm labour. There is much room for expansion on what is currently known, including long term data on outcomes for affected babies. Though uncommon, there has been evidence of adverse events in neonates, including Multisystem Inflammatory Syndrome in Children, associated with COVID-19 (MIS-C). There are recommendations for reduction of viral transmission to neonates, though more research is required to determine the role of passive immunisation of the fetus via maternal vaccination. There is now considerable evidence suggesting that the severe visitation restrictions implemented early in the pandemic have negatively impacted the care of the neonate and the experiences of both parents and healthcare professionals alike. Ongoing collaboration is required to determine the full impact this pandemic has had in the field of Neonatology, and guidelines for future management.

Introduction

The SARS-CoV-2 pandemic has impacted on the global community with disastrous economic consequences, disrupted social structures, and strained health care capacities in both high¹ and low- and middle-income countries (LMIC)^{1,2}. COVID-19 in children is less severe than in adults, but paediatric COVID-19 fatality rates are highest in LMICs^{3,4,5}. In contrast to older children and adults, COVID-19 in neonates remains uncommon⁶. However, neonates can be affected by SARS-CoV-2 indirectly, through the impact of maternal COVID-19 during pregnancy, for example leading to preterm birth. Vertical transmission is considered rare, and postnatal infections are equally seen in breastfed and formula-fed infants⁸. Despite intense research, it remains unclear why neonates mainly experience mild symptoms and have lower mortality rates^{6,7}.

Epidemiology:

There is a paucity of epidemiological data on neonatal COVID-19. A population-based study of SARS-CoV-2 infection in neonates from the UK, a country that has been severely affected by the pandemic found that during the first wave of the pandemic, 66 babies with confirmed SARS-CoV-2 infection (incidence 5.6 per 10,000 livebirths) received inpatient care⁹. Population-level in UK demonstrates that SARS-CoV-2 infection is more common in babies from Black (18.0 [7.8–35.5] per 10 000 livebirths) and Asian (15.2 [8.3–25.5] per 10 000 livebirths) ethnic groups when compared to babies from white ethnic groups (4.6 [3.2–6.4] per 10 000 livebirths), in keeping with patterns seen in other age groups^{9,10}. SARS-CoV-2 infection is also more common in babies born preterm; incidence of 18.4 (9.8–31.4) per 10,000 livebirths in babies born between 32 and 37 gestational weeks compared with 4.9 (3.6–6.5) per 10,000 in term babies⁹. In Norway, a country less severely affected by the pandemic, only 3 babies with a SARS-CoV-2 infection were admitted to a neonatal unit in 2020, all with very mild symptoms [incidence 0.8 per 10 000 livebirths - unpublished data (correspondence CK)]. Globally, there are still knowledge gaps in the epidemiology, clinical manifestations, and outcomes of SARS-CoV-2 infection among neonates.

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3 The clinical presentation in neonates appears different to older children and adults, with
4 gastrointestinal signs and poor feeding more commonly seen ^{11, 12}. Short-term outcomes of
5 neonatal SARS-CoV-2 infection to date are good, with no deaths attributable to SARS-CoV-2
6 infection noted in UK data ⁹. The longer-term neurodevelopmental impact of neonatal and
7 antenatal exposure to SARS-CoV-2 is currently unknown. In view of the neurotropic potential of
8 the SARS-CoV-2 virus in other age groups ¹³, ongoing neurodevelopmental follow-up of
9 antenatally and neonatally exposed infants is advisable. This should ideally be performed through
10 an international, coordinated, prospective cohort study ¹⁴.

11
12 The indirect impact of maternal SARS-CoV-2 infection on the neonate is also poorly
13 characterized but may be considerable. Population level data from the UK Obstetric Surveillance
14 System identified 640 completed pregnancies in women who had symptomatic SARS-CoV-2
15 infection in pregnancy during the first 6 months of the UK pandemic (1st March 2020 to 31st
16 August 2020), and 627 live-born infants. A total of 19% of infants were born preterm (compared
17 to UK preterm birth rates of 7.8%), 14% were iatrogenic preterm births and 19% of infants
18 received neonatal care ^{8,9}. The pandemic also had indirect effects, with diversion of resources,
19 shortage of qualified perinatal staff, and fear among pregnant mothers to seek health care,
20 which are also of great concern for global neonatal health ^{15,16}. A survey among health care
21 providers in LMICs showed significant challenges to neonatal care, particularly in the poorest
22 countries ^{16,17}. Respondents noted exacerbations of pre-existing shortages in staffing, equipment,
23 and isolation capabilities. In Sub-Saharan Africa, a quarter of respondents reported increased
24 mortality in non-COVID-19 infected infants. They also reported decreased admission rates to the
25 neonatal unit during the pandemic, also described in other low-resource settings ^{15,16}. In a large
26 observational study from Nepal, institutional childbirth was reduced by more than half during
27 the pandemic lockdown, with increases in institutional stillbirth rate and neonatal mortality, and
28 decreases in quality of care ¹⁸. Collectively, these are alarming observations of diversion of
29 physical, financial, and personnel resources away from neonates during the pandemic, severely
30 threatening global neonatal health ^{19,20,21,22}.

Placental & congenital or perinatal SARS-CoV-2 infection:

Pregnant women are considered to be a high-risk group as they are more likely to require intensive care for COVID-19 compared with non-pregnant women of similar age²³. SARS-CoV-2 infection during pregnancy can potentially impact the health of fetuses and neonates through different mechanisms: increased rates of preterm birth, placental infection which may compromise gas and nutrient exchange, leading to intrauterine death or perinatal asphyxia, and through transmission of the virus *in utero*, during delivery or after birth. Further, the potential effects of maternal medical treatment for SARS-CoV-2 infection, including vaccination, on the fetus remain largely unknown. At this time, there is limited data on the epidemiology of placental and perinatal SARS-CoV-2 infection, due to the lack of data, the limitations of diagnostic tests, and the lack of standardised definitions. To address these issues, the WHO has recently proposed a classification system for congenital, perinatal and postnatal transmission of SARS-CoV-2²⁴.

The overall rate of preterm birth is 17%, corresponding to a 3-fold increase in the rate of preterm birth compared to the general population²³. Most preterm births related to COVID-19 are medically induced, due to maternal illness, with no known increase in the rate of spontaneous preterm birth. Reports on the rate of stillbirth related to COVID-19 have shown conflicting results^{25,26,27}. Some have suggested an increase, but that may be related to disruptions in prenatal care and a higher frequency of home births.

Overall, short-term outcomes of infants born to mothers who developed COVID-19 during pregnancy seem favourable. Rates of transmission of SARS-CoV-2 are estimated at 1.9 per 100 pregnancies. Postnatal transmission of SARS-CoV-2 accounts for the majority of infections reported in neonates. Adverse neonatal outcomes of infants of COVID-19 mothers, such as death, have been mainly attributed to prematurity or comorbidities. However, the burden of disease should not be minimized, as 25% of neonates born to mothers infected with COVID-19 are admitted to a neonatal unit, which corresponds to a 3-fold increase in the rate of neonatal hospitalisation²³. Moreover, several cases of severe neonatal disease, including perinatal asphyxia, respiratory failure, multiorgan dysfunction, brain damage and death have been reported^{28,29,30,31}. These cases were mainly related to suspected or proven congenital SARS-CoV-

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3 2 infection, with or without infection of the placenta by SARS-CoV-2, or to placental SARS-CoV-2
4 infection without congenital infection.
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7 As SARS-CoV-2 has been detected in the circulation of infected adults, it is hypothesized
8 that the virus can reach the placenta through haematogenous spread. Its presence has been
9 detected in placental tissue by RT-PCR, RNAscope and electron microscopy^{28,32,33,34,35}, localised
10 predominantly to syncytiotrophoblasts but also to other placental cell types³⁶. Trophoblastic
11 cells, which are in direct contact with the maternal blood in the intervillous space, strongly
12 express the angiotensin-converting enzyme 2 (ACE2) receptor throughout pregnancy³⁷, which
13 suggests that SARS-CoV2 can infect the placenta via a receptor-mediated mechanism. Similar to
14 other manifestations of COVID-19, placental infections lead to a spectrum of disease severity,
15 both for the mother and the fetus³⁶. The histopathological features of placental SARS-CoV-2
16 infection during the second and third trimester are heterogeneous, showing varying degrees of
17 inflammation and vascular malperfusion, mainly on the maternal side. Vascular malperfusion of
18 the placental bed in COVID-19 can result from systemic effects of SARS-COV2 on maternal
19 vasculature and/or invasion of the placenta by the virus. Histological features of reduced vascular
20 supply range from increased fibrin deposition to fetal vascular malperfusion and large placental
21 infarcts. Placental SARS-CoV-2 infection can lead to intense inflammation of the maternal
22 compartment of the placenta, i.e. the intervillous space. Intervillitis was associated with fibrin
23 deposition and infiltration by B cells, T cells and macrophages, and necrosis of
24 syncytiotrophoblasts. This inflammation and necrosis can compromise the function of the
25 placenta, leading to adverse outcomes for the fetus and neonate. A compromised placental
26 barrier could also facilitate the transfer of the virus from the mother to the fetus.
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45 SARS-CoV-2 is commonly found in the stools of infected subjects³⁸. Faecal contamination
46 of the birth canal during labor and delivery could potentially lead to viral infection of the neonate.
47 But transmission of the virus from the mother or other sources in the immediate postpartum
48 period may lead to a similar clinical picture. Placental, congenital and perinatal infections are rare
49 complications of maternal SARS-CoV-2 during pregnancy and in most cases, symptoms are
50 minimal. However, adverse perinatal outcomes such as stillbirth, fetal growth restriction,
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3 perinatal asphyxia and severe neonatal pulmonary and systemic disease have been reported. The
4 long-term consequences are unknown.
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8 9 **Potential Strategies in Prevention of Perinatal and Neonatal COVID-19**

10 Protection of the pregnant, postpartum and breastfeeding female is the first step to
11 preventing placental dysfunctions and disease for the fetus and newborn ³⁹.
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14 Observational studies confirm that parental triage on arrival at the neonatal ward, universal
15 testing with nasopharyngeal swabs and blood testing for SARS-CoV-2 IgM and IgG antibodies, the
16 continuous use of personal protective equipment at the NICU by parents and staff as well as
17 stringent infection control procedures promote prevention of horizontal, nosocomial
18 transmission of SARS-CoV-2 infection and non-COVID co-morbidity among the neonates
19 admitted to a NICU, especially those with pre-morbid conditions ^{40,41,42}. According to
20 observational prospective cohort studies, neonates roomed-in with SARS-CoV-2 positive mother
21 had higher transmission risk; and SARS-CoV-2 positive neonates were more likely to be
22 symptomatic and need resuscitation compared to SARS-CoV-2 negative neonates ^{43,44,45}. Despite
23 the fact that early separation of the father can protect the newborns from possible horizontal
24 transmission of SARS-CoV-2, it is not effective at preventing antenatal and intrapartum vertical
25 transmission ^{46,47,9}. It is also associated with increased maternal stress, risk for traumatic
26 childbirth, further maternal psychiatric morbidity ^{48,49} and can negatively affect breastfeeding
27 and mother-neonate bonding ^{47,46}. Protected rooming-in is an evidence-based preventive
28 measure for neonates born to mothers with SARS-CoV-2 infection. It is based on overall clinical
29 context and is preceded by an educational program, including handwashing, use of surgical face
30 masks during breastfeeding or caring for the infant, and otherwise physical distancing (2 m) from
31 the infant, strict adherence to sterilization guidelines policies while expressing breastmilk,
32 infection control and prevention practices at home ^{50,51}.
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49 Maternal neutralizing anti-SARS-CoV-2 adaptive antibodies, including IgG to RBD of the
50 viral spike protein, are known to transfer across the placenta after asymptomatic and
51 symptomatic infection during pregnancy; though no association of antibody concentrations with
52 neonatal outcomes has been provided yet. Transfer ratios increase with increasing time between
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3 onset of maternal infection and delivery with maximum at 60-180 days before delivery ^{53,54,55,57}.
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5 Thus, maternal vaccination may protect the infant after an adequate interval from vaccination to
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7 delivery (of at least 4 weeks) ⁵⁸. Maternally derived anti-SARS-CoV-2 IgG may persist in infants up
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9 to 6 months of life ⁵³.

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11 Transplacental passage of anti-SARS-CoV-2 IgG was at first shown after vaccination in the
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13 third trimester of pregnancy ⁵⁶. Maternal immunization may provide neonatal protection through
14
15 the transplacental transfer of antibodies; antibody transfer ratio being correlated with the time
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17 from vaccination to delivery ⁵².

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19 Preventive effects of exclusive breastfeeding should be considered in all cases unless
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21 contraindications exist. Evidence of SARS-CoV-2 RNA detection in human milk is limited with no
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23 information about viral infectivity and no clinical significance for the infant ^{59,60,61,62,63,64,65,66}. The
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25 preventive role of breastfeeding is based on a robust sIgA-dominant SARS-CoV-2 antibody
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27 response in human milk after maternal infection with specific reactivity to the full SARS-CoV-2
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29 Spike protein, to its Receptor-Binding Domain (sIgA, IgG and/or IgM), S1 or S2 subunit and
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31 nucleocapsid from SARS-CoV-2. ^{67,75,69,70,71,76,77,89}. sIgA against various SARS-CoV-2 epitopes [N-
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33 protein; S-protein linear epitopes (NTD, RBD-SD1) and S-protein conformational RBD epitopes]
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35 were found in convalescent donor milk, capable to neutralize viral activity and limit intestinal
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37 inflammation ^{68,72,73,79,69,70,71}. Lactoferrin prevents viral anchoring on host cell receptors and its
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39 concentration in breastmilk is negatively influenced by the severity of maternal COVID-19
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41 infection during pregnancy ⁸⁴.

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43 Holder pasteurization (62.5°C for 30 min) prevents SARS-CoV-2 transmission through
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45 human milk, with partial loss of endogenous lysozyme, lactoperoxidase, lactoferrin, and
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47 reduction of neutralisation capacity of SARS-CoV-2-specific IgA, while high-pressure
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49 pasteurisation preserves this function ^{39,74,75,78,77,76}. The protective role of serum and human milk
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51 antimicrobial peptides, biomolecules belonging to innate and adaptive immunity, including type
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53 I and III interferons, lactoferrin, could be promising preventing or mitigating SARS-CoV-2
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55 infection; maternal and neonatal outcomes need to be further elucidated ^{80,81,82,83,84}.

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57 Recent studies show that receipt of a COVID-19 mRNA vaccine was immunogenic in
58
59 pregnant and lactating women ^{67,85,86,87,88}. Binding, neutralizing, and functional non-neutralizing
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3 antibody responses as well as CD4 and CD8 T-cell responses were present in pregnant, lactating,
4 and non-pregnant women following vaccination, and vaccine-elicited antibodies were
5 transported to infant cord blood and breast milk. Pregnant and non-pregnant women who were
6 vaccinated developed cross-reactive antibody responses and T-cell responses against SARS-CoV-
7 2 variants of concern ^{85, 86}. Vaccine-induced immune responses were significantly greater than
8 the response to natural infection ⁸⁶. Spike-specific IgG was thought to dominate after COVID-19
9 vaccination, unlike the post-infection milk antibody profile with predominant IgA ^{67, 89}. After
10 vaccination with the mRNA-based BNT162b2 vaccine, a SARS-CoV-2 specific antibody response
11 was detected in human milk. The presence of SARS-CoV-2 specific IgA after vaccination is
12 important as antibodies are transferred via human milk, and provide protection to infants against
13 COVID-19. SARS-CoV-2 specific IgA against the spike protein starts to increase between day 5 and
14 7 after the first dose of vaccine, an accelerated IgA antibody response is observed after the
15 second dose ⁹⁰. The potential protection of breastfed infants by administration of the BNT162b2
16 COVID-19 vaccine to the breastfeeding mother was demonstrated ⁸⁷. Vaccine-related mRNA is
17 not transferred to the infant, and lactating women who receive the COVID-19 mRNA-based
18 vaccine should not stop breastfeeding during vaccination with COVID-19 mRNA-based vaccine ⁹¹.
19 85% of breastfeeding women who received an mRNA COVID-19 vaccine reported local or
20 systemic symptoms, with higher frequency following the second dose. Few symptoms were
21 reported in their breastfed children. No serious adverse events were noted ⁹². COVID-19
22 vaccination of breastfeeding mothers resulted in minimal disruption of lactation or adverse
23 impact on the breastfed child ⁹³.

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Clinical data from larger populations are needed to better estimate the prophylactic effect
of the vaccines on short-term and long-term lactation and neonatal clinical outcomes as well as
persistence of cellular and humoral SARS-CoV-2-specific immunological memory after infection
or vaccination, likely contributing towards protection against reinfection ⁹⁴.

ParaCOVID effects and separation from parents:

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In the emergency situation of the COVID-19 pandemic, decisions were made swiftly with little evidence to support them. Limitations in visiting policies and consequently separation of infants and parents in the neonatal intensive care units (NICUs) was observed globally. Hospitals and healthcare services implemented restricted visitation policies in an attempt to control SARS-CoV-2 spread by limiting access to patients, with exception of necessary staff^{95,96}. These policies affected the care within the concepts of family centred (FCC) and family integrated care (FIC), including breastfeeding, skin to skin care and zero separation by family closeness^{97,98,99}. These models of care within neonatology exist to encourage and empower parents to participate in the care of their infants and improve clinical outcomes¹⁰⁰. Studies carried out during the COVID-19 pandemic are showing how daily care practices have been influenced by parental presence, developmental care and breastfeeding support^{95,100}. The COVID-19 pandemic and the alterations in FCC and FIC also resulted in parental mental health issues with feelings of fear and sadness increasing the risk for posttraumatic stress and postnatal depression^{100,101,102,103}. Van Veenendaal et al⁹⁵ confirmed that visitation restrictions also had an impact on healthcare professionals, including lack of personal protective equipment, staff shortages and staff concern about the lack of parental presence in the NICU, leading to high levels of stress and anxiety amongst staff. The disruption of FCC and FIC, including kangaroo or skin-to-skin care and family closeness might outweigh the small risk of death due to the virus infection¹⁰⁴. Therefore, separation between parents and the vulnerable infants might be an additional risk of long-term complications seen as the collateral damage of COVID-19 restrictions. Sustainable close contact between these infants and their parents is crucial¹⁰⁴. In addition, mothers reported that they stopped breastfeeding because of reduced support or of safety concerns¹⁰⁰. All of these observed changes led to the European Foundation for the Care of Newborn Infants (EFCNI) to call for a Zero Separation policy between parents and infants to avoid unnecessary suffering and deaths of the youngest and most vulnerable members of society¹⁰⁵. The EFCNI Zero separation campaign increased the awareness of all healthcare professionals in neonatal care to apply FCC and FIC as essential concepts for good neonatal care. This includes supporting early breastfeeding, skin-to-skin care, parental presence and involvement^{106,107,108}.

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3 The implemented changes of this pandemic led to new insights and provided new
4 guidelines to safety of care and reinforced that parents are essential partners in the neonatal
5 care during a pandemic. This review of the published evidence found that the policy changes
6 adversely impacted parents, infants and health care staff and provides guidelines to safely re-
7 establish parents as essential care providers during a pandemic.
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15 **Why don't neonates get COVID:**

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17 Newborn infants have an altered immunophenotype that changes in early life to resemble
18 that of adults ^{127,128}. In children less than 18 years, only 2% were severely affected by SARS-CoV-
19 2 ¹²⁹. However, neonates and children are usually more susceptible than adults to infection and
20 sepsis with increased morbidity and mortality from bacteria, fungi and viruses. Although babies
21 have passive immunity from their mother, this provides little protection from RSV and SARS-CoV-
22 2. Maternally-derived immunoglobulin is similar in term and preterm infants and does not explain
23 the differences in susceptibility to infection including viruses like RSV, CMV etc ¹³⁰. In addition,
24 mothers can be severely affected but the baby has minimal signs of illness ¹³¹. Immune system
25 development continues until the first years of life and is impacted by the microbiome
26 composition of the mother and infant.
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35 Although the relative difference in ACE receptors, which is the main receptor for the entry of
36 SAR-CoV-2 into cells, has been suggested to be a contributory factor in neonatal resistance to
37 COVID-19, there is not sufficient supporting evidence. ACE receptors are considered to be altered
38 in children and there is decreasing ACE2 with age in animal models ¹³². However results are
39 controversial in humans and recombinant ACE2 is associated with decreased severity of RSV-
40 associated lung injury in an animal model ¹³³.
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46 The immune response in COVID-19 is predominantly neutrophilic, which is unusual for a
47 viral infection, and the stronger innate immune response with less adaptive immune
48 development may prevent hyperinflammation and a cytokine storm in children. Toll-like
49 receptors activate a number of downstream pathways to initiate an immune response ¹³⁴, and
50 are implicated in neonatal disorders including necrotising enterocolitis, sepsis and
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3 periventricular leukomalacia. TLRs induce type I IFNs, which are the body's first defence against
4 viral infections, and TLR4-induced IFN- β is decreased in cord blood.
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7 It is suggested that the increased susceptibility of older adults to COVID 19 may be
8 related to a chronic low-grade systemic inflammation (inflammaging) with higher plasma levels
9 of IL-6, TNF- α , and other innate cytokines¹¹⁶. Differences in immune responses in neonates from
10 adults have been attributed to other factors such as relative vitamin D deficiency in adults,
11 increased co-morbidities and endothelial damage, chronic altered density and distribution of ACE
12 receptors¹¹⁷ and Cytomegalovirus infection.
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20 **Neonatal Multisystem Inflammatory Syndrome (MISC/PIMS-TS):**

21 A post-viral syndrome is now recognised in children called multisystem-inflammatory
22 syndrome temporally associated with COVID19, also known as PIMS-TS¹²¹. The clinical
23 presentation overlaps with Kawasaki syndrome and Toxic Shock syndrome, and may be
24 considered as part of a spectrum involving these previously known conditions. It usually affects
25 children in later childhood and is characterised by fever, elevated inflammatory biomarkers and
26 organ dysfunction, with particular focus on involvement of the cardiovascular system, including
27 shock, hypotension, myocardial dysfunction¹²¹. Only 4% of cases of MISC were in infants < 1 year
28 (CDC)⁴⁶. Although rare, there have been case reports of neonatal MISC¹⁰⁹ and include
29 descriptions of cardiovascular collapse and multiorgan dysfunction with gastrointestinal
30 symptoms and altered inflammatory markers. The treatment options, largely derived from
31 evidence from trials in adults¹²², involve dexamethasone and immunoglobulins and require
32 individualized care and careful cardiac evaluation, including close follow up after clinical
33 improvement and discharge home.
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47 **Future directions/Present and future research needs**

48 It is very likely that SARS-CoV-2 will be a continuous global threat despite effective
49 vaccination programs. Reasons for this include that it has become obvious that the virus can
50 rapidly mutate and become more contagious, and the vaccination program in low- and middle
51 income countries continues to lag behind. It poses multiple threats to the health and well-being
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3 of children world-wide ¹⁴. A better understanding of age-group specific disease
4 pathomechanisms, treatment options including effect of infant/child vaccinations, long-term
5 outcomes and prevention of viral spread is urgently needed and should be the topics of future
6 research ^{123,124}. The overall low morbidity of SARS-CoV-2 infection in neonates as compared to
7 adults is striking, as well as the low susceptibility to SARS-CoV-2 compared to bacterial or other
8 severe viral infections. The relatively low incidence among children, and especially neonates,
9 necessitate multi-national collaborations ¹¹¹ to address these questions in adequately sized
10 studies. International registries ¹²⁵ and collaborations represent first vital steps in this direction,
11 but more work is needed. The very successful RECOVERY trial, by far the largest double-blind
12 controlled trial of hospitalized patients with COVID-19, included pregnant women, infants and
13 children. This trial, which, with appropriate administrative prioritization and support of all
14 regulatory agencies, went from inception to recruitment in only nine days, could serve as an ideal
15 model for future platform trials ¹²⁶. To achieve this, current pathways of collaboration need
16 further nurturing, for which adequate public support and funding remain mandatory necessities.
17 Following this example, national and international health care policy makers need to remain
18 prepared to provide structural and financial support for the research needs in child health during
19 the current and for future pandemics.
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36 Future research should focus on three main areas of interest: what is the impact of maternal
37 COVID-19 on the fetus, what does the efficient neonatal immune response teach us on COVID-
38 19 pathophysiology, and could maternal or mild neonatal COVID-19 potentially cause serious
39 long-term sequelae?
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45 Maternal COVID-19 and the fetus

46 Irrespective of fetal infection, maternal infection can impact the developing fetal immune
47 system, for example in human immunodeficiency virus or hepatitis C virus ^{141,142}. Short-term
48 impact of maternal covid-19 on the fetus has been described in a few small cohorts. In 51
49 maternal COVID-19 cases in the third trimester, no effects on neonatal cellular or humoral
50 immunity or cytokine production were found, with the exception of IL-6 and IL-10 ¹⁴³. One
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3 neonate with extremely elevated IL-6, however, developed necrotizing enterocolitis. Pre-print
4 data from a case-control study showed increased fetal inflammatory markers and cytokine
5 functionality in neonates of mothers exposed to SARS-CoV-2, without signs of neonatal infection
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9 ¹⁴⁴. Overall current evidence is scarce and contradicting. It is important to understand if this
10 impact on the fetal immune profile is protective or harmful, if the impact is transient or long-
11 lasting and if the trimester and timing of exposure is affecting this impact. Moreover, advice on
12 maternal immunization remains unclear as pregnant women were excluded from vaccine trials.
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14 Besides monitoring maternal COVID-19, there is a dire need for risk-benefits analyses for
15 immunization regarding both mother and child ¹⁴⁵.

21 Neonatal immune response

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23 Comparison of the neonatal immune response to SARS-CoV-2 versus responses to RSV or
24 influenza virus and identifying differences between the adult and the neonatal cellular and
25 humoral response to SARS-CoV-2 in larger cohorts could provide useful information on COVID-
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29 19 pathogenesis. This might become even more essential in light of future evolving virus strains
30 with increasing virulence.

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32 Another opportunity for future research is the potential of breastmilk. As outlined above,
33 there appear to be associations with immunomodulator promotion via breastmilk, we still lack
34 full understanding of the role of IgA, secretory IgA as a first-line defense in the mucosa, which
35 may potentially be a more potent neutralizer of SARS-CoV-2 than serum IgG ¹⁴⁰. With global rising
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39 antibody prevalence due to active infection or vaccination including in women of childbearing
40 age, understanding protective components of breastmilk could provide a useful tool in future
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43 passive immunization or even therapeutic use.

47 Long-term sequelae

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49 It is not known if maternal or mild neonatal COVID-19 potentially cause serious long-term
50 sequelae and therefore follow-up of antenatally and neonatally exposed infants is necessary. As
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53 discussed previously, the impact of maternal COVID-19 on the fetus and its clinical significance
54 needs to be addressed, as potential (neurodevelopmental) sequelae has not yet been ruled out.
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3 Although neonates mainly experience mild acute symptoms, across the globe, a subset of
4 patients who sustain an acute SARS-CoV-2 infection are developing a wide range of persistent
5 symptoms, also in children ^{146,147}. These complaints can last up to a few weeks, but sometimes
6 several months and even one year after an infection with COVID-19. These patients are being
7 given the diagnosis Long COVID or Post-acute sequelae of COVID-19 (PASC). In The Netherlands,
8 a nationwide multicentre hospital-based prospective cohort study, called the Clinical features of
9 COVID-19 in Pediatric Patients (COPP)-study, was initiated early 2020 ¹⁴⁸. This study is extended
10 by the COPP2 study, which looks into the long term sequelae of pediatric COVID-19. With respect
11 to neonatal COVID-19, it is important to focus on neurodevelopmental aspects in light of the
12 neurotropic potential of the SARS-CoV-2 virus in other age groups.
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23 Conclusion

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25 In conclusion, while there is a considerable amount of evidence available related to the
26 COVID-19 pandemic and neonatal care, there are still many unanswered questions. The evidence
27 to date should be used to continue to promote best practice in neonatal care, with particular
28 consideration to revising policies that have now been shown to negatively impact rather than
29 protect our patients. It is important to look forwards to developing effective research strategies
30 to address ongoing concerns in relation to neonatal care in the COVID-19 pandemic.
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